

Chief Judge Marsha J. Pechman

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

UNITED STATES OF AMERICA,

Plaintiff,

v.

HENRY C. ROSENAU

Defendant.

NO. CR06-157MJP

MOTION FOR RECONSIDERATION

Noted: April 20, 2012

The DEFENDANT, by and through his counsel of record, Craig Platt, hereby files this Motion for Reconsideration Pursuant to Local Rule CrR 12(11). The Defendant respectfully objects to the admission of any and all live broadcast testimony of Kip Whelpley in Canada on the grounds that such testimony will violate his Sixth Amendment right to be confronted by his accuser. This Motion for Reconsideration is appropriate pursuant to Local Rule CrR 12(11) due to manifest error and the fact that additional legal authority could not have been brought to the Court's attention earlier with reasonable diligence because the issue only briefly arose during oral argument on a Motion for Deposition.

MOTION FOR RECONSIDERATION
/ROSENAU- 1
CR06-157MJP

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BACKGROUND

On the March 23, 2012 this Court issued an Order on the Government's Motion for Authorization to Depose Foreign Witnesses. (Dkt. No. 89). This Court determined that due to "exceptional circumstances" the government is authorized to secure live broadcast testimony of Kip Whelpley in Canada. The Order states that Defense counsel shall travel to Canada to conduct cross-examination of Mr. Whelpley. The Order further states that a direct communication link between Defense counsel and the Defendant shall be provided, and opportunities for the Defendant to communicate with Defense Counsel will be set up. (*Id.* at 2). The Court's Order was in response to the Government's Renoted Motion for Authorization to Depose Foreign Witnesses filed on March 2, 2012. (Dkt. No. 79).

The Defense's Brief in Opposition to Government's Renoted Motion for Authorization to Depose Foreign Witnesses focused primarily on the taking of depositions pursuant to Rule 15. (Dkt. No. 81). The Defense now shifts its focus as a result of this Court's Order granting the government authorization to secure live broadcast testimony. This shift in focus is necessary as the legal standard for Rule 15 depositions are different from the legal standards required for testimony taken via live broadcast.

The Defense hereby respectfully objects to the testimony of Kip Whelpley via live broadcast testimony and moves this Court for reconsideration. The Defense further respectfully preserves any and all errors for appeal based on the Sixth Amendment of the United States Constitution and any and all other applicable law.

INTRODUCTION—

SPECIFIC MATTERS OVERLOOKED OR MISAPPREHENDED—

AND NEW MATTERS

The Court erred by applying the Rule 15 exceptional circumstances standard to justify the authorization of video testimony. Furthermore, the Sixth Amendment requires physical face-to-face confrontation. The exception carved out in *Craig v. Maryland* is no longer good law in light of *Crawford*. Nevertheless, even if it is assumed that *Craig* remains as good law, the *Craig* exception to physical face-to-face confrontation does not apply in the instant case. No sufficiently important public policy exists that outweighs Mr. Rosenau's right to physically

1 confront Mr. Whelpley face-to-face. Finally, the proper procedures for admitting live broadcast
 2 testimony have not been complied with and the reliability of Kip Whelpley's testimony has not
 3 been adequately assured.
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5 6 7 LAW AND ARGUMENT

8 **I. THE DISTRICT COURT ERRED WHEN IT APPLIED THE RULE 15** 9 **EXCEPTIONAL CIRCUMSTANCES STANDARD FOR AUTHORIZATION OF** 10 **VIDEO TESTIMONY** 11

12 Federal Rule of Criminal Procedure 15 provides "[a] party may move that a prospective
 13 witness be deposed in order to preserve testimony for trial. The court may grant the motion
 14 because of exceptional circumstances and in the interests of justice." Fed. R. Crim. P. 15(a)(1).
 15 In criminal cases depositions are proper only in the very limited circumstances prescribed by
 16 Federal Rule of Criminal Procedure 15. *United States v. Rich*, 580 F.2d 929, 934 (9th Cir.
 17 1978)(emphasis added). The party seeking a deposition bears the burden of demonstrating that
 18 "exceptional circumstances" necessitate the preservation of testimony through a deposition.
 19 *United States v. Kelley*, 36 F.2d 1118 (D.C. Cir. 1994)(citing *United States v. Ismaili*, 828 F.2d
 20 152, 159 (3d Cir. 1987), cert. denied, 485 U.S. 935, 108 S.Ct. 1110 (1988)); accord *United*
 21 *States v. Drogoul*, 1 F.3d 1546 (11th Cir. 1993). The District Court should consider the
 22 particular circumstances of each case to determine whether the exceptional circumstances
 23 requirement has been satisfied. *United States v. Omene*, 143 F.3d 1167, 1170(9th Cir.
 24 1998)(citing *United States v. Farfan-Carreon*, 935 F.2d 678, 679 (5th Cir. 1991)).
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26 The Confrontation Clause "guarantees the defendant a face-to-face meeting with
 27 witnesses appearing before the trier of fact." *Coy v. Iowa*, 487 U.S. 1012, 1026, 108 S.Ct. 2798,
 28 2801 (1988)(emphasis added). In *Maryland v. Craig*, the Supreme Court carved out an
 29 exception to this guarantee when it upheld a statutory procedure that permitted courts to receive,
 30 by one-way closed circuit television, the testimony of a child witness. *Maryland v. Craig*, 497
 31 U.S. 836, 110 S.Ct. 3157 (1990). Nevertheless, in *Craig*, the Supreme Court still acknowledged
 32 that "[t]he Confrontation Clause reflects a preference for face-to-face confrontation at trial...."
 33 *Craig*, 497 U.S. at 849, 110 S.Ct. at 3165. The exception in *Craig* provides that "...a
 34 defendant's right to confront accusatory witnesses may be satisfied absent a physical, face-to-
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1 face confrontation at trial only where denial of such confrontation is necessary to further an
 2 important public policy and only where the reliability of the testimony is otherwise assured.”
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 4 *Craig*, 497 U.S. at 850, 110 S.Ct. at 3166.

5 In *United States v. Yates*, the government argued that two-way video testimony should be
 6 admissible whenever Rule 15 deposition testimony would be admissible. *United States v. Yates*,
 7 438 F.3d 1307, 1312 (11th Cir. 2006). However, Rule 15 requires the government to, “produce
 8 the defendant at the deposition and keep the defendant in the witness’s presence during the
 9 examination....” Fed R. Crim. P. 15(c)(1). The *Yates* court recognized that “Rule 15 gives the
 10 defendant the opportunity to be present at the deposition and thus an opportunity for physical
 11 face-to-face confrontation.” *Yates*, 438 F.3d at 1314. The *Yates* court further stated that “the
 12 carefully-crafted provisions of Rule 15...were designed to protect defendants’ rights to physical
 13 face-to-face confrontation....” *Id.* at 1315. The *Yates* court further recognized that the Federal
 14 Rules of Criminal Procedure do not authorize two-way video testimony. *Id.* at 1314. More
 15 importantly, the *Yates* court acknowledged the following:

16 In 2002, the Advisory Committee on the Criminal Rules suggested a revision to Federal
 17 Rule of Criminal Procedure 26 that would have allowed testimony by means of two-way
 18 video conferencing. Thereafter, the Supreme Court transmitted to Congress proposed
 19 amendments to the Federal Rules of Criminal Procedure. The Court declined to transmit
 20 the proposed revision to Rule 26 that would have allowed testimony by two-way video
 21 conference. Justice Scalia filed a statement explaining that he shared “the majority’s view
 22 that the Judicial Conference’s proposed Fed. Rule Crim. Proc. 26(b) is of dubious validity
 23 under the Confrontation Clause of the Sixth Amendment to the United States
 24 Constitution....”

25 *Id.* at 1314-1315(citing *Order of the Supreme Court*, 207 F.R.D. 89, 93 (2002)). As a result, the
 26 *Yates* court, while sitting *en banc*, rejected the government’s argument that two-way video
 27 testimony should be admissible whenever Rule 15 deposition testimony would be admissible.
 28 *Yates*, 438 F.3d at 1312, 1314. Therefore, the exceptional circumstances standard for Rule 15
 29 depositions is not the appropriate standard for determining the admissibility of live video
 30 broadcast testimony in trial.

31 On the March 23, 2012 this Court issued an Order on Government’s Motion for
 32 Authorization to Depose Foreign Witnesses. (Dkt. No. 89). This Court stated that “because,
 33 exceptional circumstances exist, the government is AUTHORIZED to secure the live broadcast
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testimony in Canada of Kip Whelpley.” (Dkt. No. 89 at 2). This Court did not apply the standard set forth in *Craig*.¹ The Defense respectfully contends that the application of the exceptional circumstances standard for the taking live broadcast testimony was a manifest error. The Defense respectfully preserves this error for appeal.

II. THE SIXTH AMENDMENT REQUIRES PHYSICAL FACE-TO-FACE CONFRONTATION

“In all criminal prosecutions, the accused shall enjoy the right to...be confronted with the witnesses against him.” U.S. Const. amend. VI. The Confrontation Clause “guarantees the defendant a face-to-face meeting with witnesses appearing before the trier of fact.” *Coy*, 487 U.S. at 1026, 108 S.Ct. at 2801(emphasis added). The Confrontation Clause also includes the right to effective cross examination. *Davis v. Alaska*, 415 U.S. 308, 315, 94 S.Ct. 1105, 1110 (1974); *United States v. Larson*, 495 F.3d 1094, 1102 (9th Cir. 2007).

A. Effective Cross Examination Requires Physical Face-to-Face Confrontation

In addition to cross-examination, Confrontation also “means...being allowed to confront the witness physically...” *Davis*, 415 U.S. at 315, 94 S.Ct. at 1110(emphasis added). The Ninth Circuit and the Supreme Court have “emphasized the policy favoring expansive witness cross-examination in criminal trials.” *Larson*, 495 F.3d at 1102(quoting *United States v. Lo*, 231 F.3d 471, 482 (9th Cir. 2000)). Effective cross examination is critical to a fair trial because “[c]ross-examination is the principal means by which the believability of a witness and the truth of his testimony are tested.” *Id.* at 1102 (quoting *Davis*, 415 U.S. at 317, 94 S.Ct. at 1110).

The Supreme Court of the United States has explained that the primary objective of the Confrontation clause is:

...to prevent depositions or ex parte affidavits, such as were sometimes admitted in civil cases, being used against the prisoner in lieu of a personal examination and cross-examination of the witness, in which the accused has an opportunity, not only of testing the recollection and sifting the conscience of the witness, but of compelling him to stand face to face with the jury in order that they may look at him, and judge by his demeanor upon the stand and the manner in which he gives his testimony whether he is worthy of belief.

¹ The Defense maintains that *Craig* is no longer good law. *See infra*.

1 *Mattox v. United States*, 156 U.S. 237, 242-243, 15 S.Ct. 337, 340 (1895)(emphasis added).

2 The Supreme Court has also “recognized that the exposure of a witness’ motivation in
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4 testifying is a proper and important function of the constitutionally protected right of cross-
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6 examination. *Davis*, 415 U.S. at 317, 94 S.Ct. at 1110(citing *Greene v. McElroy*, 360 U.S. 474,
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8 496, 79 S.Ct. 1400, 1413, 3 L.Ed.2d 1377 (1959))(emphasis added). Ultimately, “[J]urors [are]
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10 entitled to have the benefit of the defense theory before them so that they [can] make an
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12 informed judgment as to the weight to place on [a witness’] testimony.” *Larson*, 495 F.3d at
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14 1102 (quoting *Davis*, 415 U.S. at 318, 94 S.Ct. at 1111).

15 “The simple truth is that confrontation through a video monitor is not the same as
16 physical face-to-face confrontation”—“the two are not constitutionally equivalent.” *Yates*, 438
17 F.3d at 1315(emphasis added); see also *United States v. Bordeaux*, 400 F.3d 548, 554-555 (8th
18 Cir. 2005)(finding that “‘confrontation’ via a two-way closed circuit television is not
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20 constitutionally equivalent to a face-to-face confrontation.”). Ultimately, “[t]he phrase still
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22 persists, ‘Look me in the eye and say that.’ Given these human feelings of what is necessary for
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24 fairness, the right of confrontation ‘contributes to the establishment of a system of criminal
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26 justice in which the perception as well as the reality of fairness prevails.’” *Coy*, 487 U.S. at 1019,
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28 108 S.Ct. at 2802(citing *Lee v. Illinois*, 476 U.S. 530, 540, 106 S.Ct. 2056, 2062, (1986)).

29 **B. Live Broadcast Testimony is Insufficient and Violates the Sixth Amendment** 30 **Right to Confrontation**

31 The *Yates* court, while sitting *en banc*, considered whether witness testimony presented
32 on a television monitor at a criminal trial by live two-way video violates a defendant’s Sixth
33 Amendment right to confront witnesses. *United States v. Yates*, 438 F.3d 1307, 1309 (11th Cir.
34 2006). The defendants in *Yates* contended that the admission of testimony via two-way video
35 would violate their Sixth Amendment rights. Despite the defense’s objection, the district court
36 permitted testimony via two-way video because two-way video would allow the defendants to
37 see the witnesses and vice-versa. *Yates*, 438 F.3d at 1310. Moreover, the defendants, jury, and
38 the judge could see the testifying witnesses on a television monitor and the witnesses could see
39 the courtroom. *Id.* Additionally, defense counsel was able to cross examine the witnesses via
40 two-way video. *Id.* However, the testifying witnesses remained physically present in Australia
41 while the Defendants remained in Alabama. *Id.* at 1312.

On appeal, the Eleventh Circuit reviewed de novo the Defendants' claim that their Sixth Amendment rights had been violated. *Id.* at 1309. The government claimed that testimony via two-way video conference was sufficient under the Sixth Amendment.² However, ultimately the *Yates* court, while sitting *en banc*, held that the two-way video conference testimony violated the defendants' rights under the Sixth Amendment, vacated the Defendants' convictions, and remanded the case for a new trial. *Id.* at 1309. The court reasoned that "[t]he simple truth is that confrontation through a video monitor is not the same as physical face-to-face confrontation"— "the two are not constitutionally equivalent." *Yates*, 438 F.3d at 1315; *see also Bordeaux*, at 554-555 (finding that "'confrontation' via a two-way closed circuit television is not constitutionally equivalent to a face-to-face confrontation.").

Here, like the defendants in *Yates*, Mr. Rosenau will be denied the Sixth Amendment guarantee to physically confront Kip Whelpley face-to-face. The jury will also be denied the opportunity to stand face-to-face with Kip Whelpley "in order that they may look at him, and judge by his demeanor upon the stand and the manner in which he gives his testimony whether he is worthy of belief." *Mattox*, 156 U.S. at 242-243, 15 S.Ct. at 340. Ultimately, live broadcast testimony of Kip Whelpley will be unconstitutional under the Sixth Amendment.

III. THE CRAIG EXCEPTION TO PHYSICAL FACE-TO-FACE CONFRONTATION IS NO LONGER GOOD LAW IN LIGHT OF CRAWFORD

In *Maryland v. Craig*, the United States Supreme Court, in a 5-4 decision, upheld a statutory procedure that permitted courts to receive, by one-way closed circuit television, the testimony of a child witness. *Maryland v. Craig*, 497 U.S. 836, 110 S.Ct. 3157 (1990). However, since this decision, the Supreme Court has altered its Confrontation Clause analysis.

In *Crawford*, the Court held that the Confrontation Clause required cross-examination and unavailability before testimonial hearsay could be admitted into evidence. *Crawford v. Washington*, 541 U.S. 36, 69, 124 S.Ct. 1354, 1374 (2004); *see also Davis v. Washington*, 547 U.S. 813, 126 S.Ct. 2266, 2274-77 (2006). The *Crawford* decision radically altered the Court's jurisprudence involving the Sixth Amendment. At its core, *Crawford* is about the scope of the

² *See supra* (discussing the rejection of the government's argument that two-way video testimony should be admissible whenever Rule 15 deposition testimony would be admissible).

1 Confrontation Clause, not just the application of the Clause to the out-of-court statements at
 2 issue in that case.

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 4 The Supreme Court held in *Crawford* that the Sixth Amendment was subject only to
 5 those exceptions established at the time of its ratification in 1791. *Crawford*, 541 U.S. at 54, 124
 6 S.Ct. at 1366 (citing *Mattox*, 156 U.S. 237, 243, 15 S.Ct. 337, 39 L.Ed. 409 (1895)). In 1791, the
 7 Framers could not have imagined confrontation and cross-examination in a Canadian Court
 8 where the defendant would attend via video broadcast as this Court has authorized. The
 9 judicially created exceptions to the Sixth Amendment announced in *Craig* for the first time 200
 10 years after the ratification of the Sixth Amendment are indefensible in light of *Crawford*.
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 14 *Crawford* considered whether and how testimonial hearsay statements made by witnesses
 15 who did not testify at trial were admissible in light of the Confrontation Clause. See *Crawford*,
 16 541 U.S. at 68-69, 124 S.Ct. at 1374. Although *Crawford* did not specifically address the issue
 17 of face-to-face confrontation at trial or deposition, *Crawford* fully considered the historical
 18 context within which the Confrontation Clause was drafted and the evils at which it was aimed.
 19 *Id.* at 43-51, 124 S.Ct. at 1359-1364. Moreover, *Crawford* did hold that testimonial hearsay
 20 statements were inadmissible absent the right to confrontation. *Id.* at 68-69, 124 S.Ct. at 1374.
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 24 The *Crawford* opinion also contains statements that are impossible to reconcile with other
 25 statements in the *Craig* opinion. Compare, e.g., *Craig*, 497 U.S. at 849, 110 S.Ct. at 3165(“a
 26 literal reading of the Confrontation Clause would ‘abrogate virtually every hearsay exception, a
 27 result long rejected as unintended and too extreme’”(citing *Ohio v. Roberts*, 448 U.S. 56, 63 100
 28 S.Ct. 2531, 253 (1980)), and *Craig*, 497 U.S. at 845, 110 S.Ct. at 3163(“any exception to the
 29 right ‘would surely be allowed only when necessary to further an important public
 30 policy’”(citing *Coy v. Iowa*, 487 U.S. 1012, 1021, 108 S.Ct. 2798, 2803 (1988)), with *Crawford*,
 31 541 U.S. at 51, 124 S.Ct. at 1364(“[l]eaving the regulation of out-of-court statements to the law
 32 of evidence would render the Confrontation Clause powerless to prevent even the most flagrant
 33 inquisitorial practices”), *id.* at 54, 124 S.Ct. at 1365(“[t]he text of the Sixth Amendment does not
 34 suggest any open-ended exceptions from the confrontation requirement to be developed by the
 35 courts”), and *id.* at 61, 124 S.Ct. 1370(“[a]dmitting statements deemed reliable by a judge is
 36 fundamentally at odds with the right to confrontation”).
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More importantly, *Crawford* explicitly rejected the rationale of *Ohio v. Roberts*, 448 U.S. 56, 100 S.Ct. 2531 (1980). *Crawford*, 541 U.S. at 63-66, 124 S.Ct. at 1371-1372. *Roberts* held that admitting the preliminary hearing testimony of an unavailable witness did not violate the Confrontation Clause of the Sixth Amendment by reasoning that reliability could be “inferred without more in a case where the evidence falls within a firmly rooted hearsay exception.” *Roberts*, at 66, 100 S.Ct. at 2539. The *Roberts* court reached this conclusion by reasoning that “hearsay rules and the Confrontation Clause are generally designed to protect similar values.” *Id.* at 66, 100 S.Ct. at 2539(citing *California v. Green*, 399 U.S. 149, 155, 90 S.Ct. 1930, 1933(1970)). In *Craig*, the Court relied heavily on the *Roberts* decision. *Crawford* overruled *Roberts*. *Roberts* is no longer good law. Thus, *Crawford* overruled *Craig* sub silentio and by implication because it undermined the foundations upon which *Craig* rested.

Crawford’s return to the full historical context of the Sixth Amendment requires this Court to permit Mr. Rosenau to be physically confronted, face-to-face, with the witnesses against him. Physical face-to-face confrontation is constitutionally required regardless of whether the *Craig* exception can conceivably apply. The Defense respectfully requests, pursuant to the Sixth Amendment and *Crawford*, that this Court require Mr. Rosenau to be confronted, face-to-face, with the witnesses against him. Further, the Defense respectfully preserves this issue and manifest error for appeal. The authorization of the live broadcast testimony of Kip Whelpley is unconstitutional in light of *Crawford*.

IV. ADMISSION OF TESTIMONY FROM CANADA VIA LIVE BROADCAST IS NOT JUSTIFIED PURSUANT TO A SUFFICIENTLY IMPORTANT PUBLIC POLICY

“[A] defendant’s right to confront accusatory witnesses may be satisfied absent a physical, face-to-face confrontation at trial only where denial of such confrontation is necessary to further an important public policy....” *Craig*, 497 U.S. at 850, 110 S.Ct. at 3166. The Defense maintains that *Craig* is no longer good law in light of *Crawford*. However, assuming arguendo that *Craig* remains as good law, a sufficiently important public policy does not exist that justifies the testimony of Kip Whelpley’s from Canada via live broadcast.

In *Yates, supra*, the defendants were charged with mail fraud, conspiracy to defraud the United States, conspiracy to commit money laundering, and a variety of prescription drug related

1 crimes. *Yates*, 438 F.3d at 1310. The government posited that several important public policies
 2 existed that supported video testimony of witnesses. The government asserted video testimony
 3 was justified by claiming an important public policy existed concerning “providing the fact-
 4 finder with crucial evidence,” “expeditiously and justly resolving the case,” and “ensuring that
 5 foreign witness can so testify.” *Id.* at 1315-1316. The district court agreed that the Government
 6 asserted an important public policy. *Id.* at 1310.

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 10 However, on appeal, the Eleventh Circuit, sitting *en banc*, reasoned that “the prosecutor’s
 11 need for the video conference testimony to make a case and to expeditiously resolve it are not the
 12 type of public policies that are important enough to outweigh the Defendants’ rights to confront
 13 their accusers face-to-face.” *Id.* at 1316. The court further reasoned, that “[a]ll criminal
 14 prosecutions include at least some evidence crucial to the Government’s case,” and “[t]here is no
 15 doubt that many criminal cases could be more expeditiously resolved were it unnecessary for
 16 witnesses to appear at trial.” *Id.*

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 20 Unlike *Yates*, in *United States v. Ali*,³ the court determined that an important public
 21 policy existed to justify testimony via two-way video link. *United States v. Ali*, 528 F.3d 210,
 22 240 (4th Cir. 2008). The court reasoned that the case concerned international terrorism and a
 23 defendant charged with crimes targeting American civilians and the President of the United
 24 States. The court further reasoned that “[i]nsistence on face-to-face confrontation may in some
 25 circumstances limit the ability of the United States to further its fundamental interest in
 26 preventing terrorist attacks” and “this would jeopardize the government’s ability to prosecute
 27 terrorists.” *Ali*, 528 F.3d at 240. Therefore the court determined that an important public policy
 28 existed that justified testimony via two-way video as the “government has no more profound
 29 responsibility than the protection of Americans, both militarily and civilian, against unprovoked
 30 attack.” *Id.* (citing *Hamdi v. Rumsfeld*, 296 F.3d 278, 283 (4th Cir. 2002)).

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 37 Here, like the defendants in *Yates*, Mr. Rosenau has been charged with conspiracy and
 38 drug related crimes. Moreover, like the defendants in *Yates*, Mr. Rosenau will be denied his
 39 right to physical face-to-face confrontation of an accuser located in a foreign county. This Court
 40 has authorized testimony via live broadcast. However, “the [AUSA’s] need for the video
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 3 *Ali* is the only post *Crawford* appellate case cited by the government in its Reply to Defendant’s Opposition to
 Renoted Motion for Authorization to Depose Foreign Witnesses. (Dkt. No. 85 at 1).

conference testimony to make a case and to expeditiously resolve it are not the type of public policies that are important enough to outweigh [Mr. Rosenau's] rights to confront [his] accusers face-to-face." *Yates*, 438 F.3d at 1316. Furthermore, unlike the circumstances in *Ali*, Mr. Rosenau has not been accused of international terrorism and the government's ability to defend the county against unprovoked attack or prosecute terrorists is not in jeopardy. *Ali*, 528 F.3d at 240. Ultimately, here, as in *Yates*, a sufficiently important public policy does not exist that justifies the denial of Mr. Rosenau's Sixth Amendment right to physically confront Kip Whelpley face-to-face.⁴

V. THE PROPER PROCEDURES FOR ADMITTING LIVE BROADCAST TESTIMONY HAVE NOT BEEN COMPLIED WITH AND THE RELIABILITY OF KIP WHELPLEY'S TESTIMONY HAS NOT BEEN ADEQUATELY ASSURED

"[A] defendant's right to confront accusatory witnesses may be satisfied absent a physical, face-to-face confrontation at trial...only where the reliability of the testimony is otherwise assured." *Craig*, 497 U.S. at 850, 110 S.Ct. at 3166. "[W]here a defendant's right to confront a witness against him will be affected, the determination of whether a particular case requires a departure from usual procedures must be made, by the trial court, on a case-by-case basis." *Yates*, 438 F.3d at 1315(citing *Craig*, 497 U.S. at 854, 110 S.Ct. at 3169). "The court generally must: (1) hold an evidentiary hearing and (2) find: (a) that the denial of physical, face-to-face confrontation at trial is necessary to further an important public policy and (b) that the reliability of the testimony is otherwise assured. *Yates*, 438 F.3d at 1315(citing *Craig*, 497 U.S. at 850, 855, 110 S.Ct. at 31166, 3169). *Craig* also suggests that in order to separate the witness and defendant, the problem must be the physical presence of the defendant during the witness's testimony and not some other problem that can be remedied by a less intrusive solution. See *Craig*, 487 U.S. at 855, 110 S.Ct. at 3169; *Yates*, 439 F.3d 1315.

Here, no evidentiary hearing has been held in order to determine whether the government can show that a sufficiently important public policy exists that outweighs Mr. Rosenau's Sixth Amendment rights. The contrast between *Yates* and *Ali* reveals that a sufficient public policy

⁴ The Defense respectfully preserves the issue concerning a sufficient public policy for appeal.

1 does not exist in the instant case to deprive Mr. Rosenau of his Sixth Amendment rights.
 2 Moreover, a hearing has not been held to determine whether the reliability of Mr. Whelpley's
 3 testimony will be assured. It seems the government has taken no steps to remedy Kip
 4 Whelpley's absence or provide a less intrusive solution. Furthermore, Mr. Whelpley will be
 5 beyond sanctions for perjury as he will testify from a location in Canada. His reliability cannot
 6 and has not been adequately assured. Nevertheless, the necessary procedures required for the
 7 admission of live broadcast testimony have not been complied with.⁵
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12 CONCLUSION

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 14 The Court erred upon applying the Rule 15 exceptional circumstances standard to justify
 15 the authorization of video testimony. Furthermore, the Sixth Amendment requires physical face-
 16 to-face confrontation. The exception carved out in *Craig v. Maryland* is no longer good law in
 17 light of *Crawford*. Nevertheless, even if it is assumed that *Craig* remains as good law, the *Craig*
 18 exception to physical face-to-face confrontation does not apply in the instant case. No
 19 sufficiently important public policy exists that outweighs Mr. Rosenau's right to physically
 20 confront Mr. Whelpley face-to-face. Finally, the proper procedures for admitting live broadcast
 21 testimony have not been complied with and the reliability of Kip Whelpley's testimony has not
 22 been adequately assured. The Defense respectfully preserves any and all issues concerning the
 23 above issues for appeal.
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31 PARTICULAR MODIFICATION SOUGHT

32 The Defendant prays that this court will vacate its Order authorizing the testimony of Kip
 33 Whelpley via live broadcast and render such testimony inadmissible pursuant to the Sixth
 34 Amendment. The Defendant further prays that live, physical, face-to-face confrontation of his
 35 accuser, Kip Whelpley, as is guaranteed under the Sixth Amendment, be required.
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43 ⁵ The Defense respectfully preserves the issue(s) for appeal concerning the lack of the required and appropriate
 44 procedures and the lack of assurances of reliable testimony.
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1 DATED this 13th day of April, 2012

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3 Respectfully submitted,
4 PLATT & BUESCHER

5
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CERTIFICATE OF SERVICE

I hereby certify that on 4/13/2012, I electronically filed the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the attorney(s) of record for the Government.

s/Craig Platt
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MOTION FOR RECONSIDERATION
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